

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Bruce B. Doris, et al.

**Docket:** YOR920030087US1(16459)

**Serial No.:** 10/650,229

**Dated:** January 5, 2004

**Filed:** August 28, 2003

**For:** ULTRA THIN CHANNEL MOSFET

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

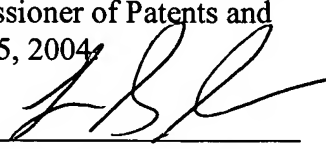
1. U.S. Patent No. 6,329,704 B1, issued December 11, 2001 to Akatsu, et al.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on January 5, 2004.

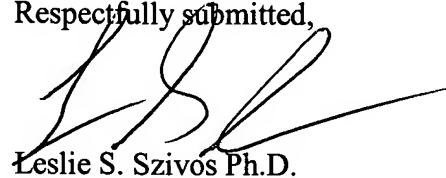
Dated: January 5, 2004

  
\_\_\_\_\_  
Leslie S. Szivos, Ph.D.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference. Nevertheless, applicants have enclosed a copy of U.S. Patent No. 6,329,704 B1, herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no petition, certification or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'LSZ', with a long horizontal flourish extending to the right.

Leslie S. Szivos Ph.D.  
Registration No. 39,394

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LSS:HAH:kmc

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

YOR920030087US1 (16459)

Application Number

10/650,229

Applicant(s)

Bruce B. Doris, et al.

Filing Date

August 28, 2003

Group Art Unit

Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,329,704B1	12/11/01	Akatsu et al.			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**YOR920030087US1**

In Re Application Of: **Bruce B. Doris, et al.**

**JAN 07 2004**

Serial No.

**10,650,229**

Filing Date

**August 28, 2003**

Examiner

**Unassigned**

Group Art Unit

**Unassigned**

Title: **ULTRA-THIN CHANNEL MOSFET**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
YOR920030087US1

In Re Application of **Bruce B. Doris, et al.**

JAN 07 2004

Serial No.  
107650,229

Filing Date  
August 28, 2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

**ULTRA-THIN CHANNEL MOSFET**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature

Leslie S. Szivos, Ph.D.

\_\_\_\_\_  
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\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: January 5, 2004

CC: